## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q80691

Hajime NAKAO, et al.

Appln. No.: Unknown

Confirmation No.: Unknown

Group Art Unit: Unknown

Filed: March 26, 2004

Examiner: Unknown

For: POSITIVE RESIST COMPOSITION

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: Not Yet Assigned

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JP-A-10-254139, JP-A-2002-229192 and JP-A-2001-142212 are cited and discussed on

pages 2 and 3 of the present application.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

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Date: March 26, 2004

| Subst | itute for Form 144 | 9 A & B/PTO     |                |      | Complete if Known      |                |  |  |
|-------|--------------------|-----------------|----------------|------|------------------------|----------------|--|--|
|       |                    |                 |                |      | Application Number     | Unknown        |  |  |
|       | <u>INFO</u>        | RMATION         | <b>DISCLOS</b> | SURE | Confirmation Number    | Unknown        |  |  |
|       | <b>STAT</b>        | EMENT BY        | APPLIC         | CANT | Filing Date            | March 26, 2004 |  |  |
|       | <u></u>            |                 | ·              |      | First Named Inventor   | Hajime NAKAO   |  |  |
|       | (use               | e as many sheet | ts as necessa  | iry) | Art Unit               | Unknown        |  |  |
| -     |                    |                 |                |      | Examiner Name          | Unknown        |  |  |
|       | Sheet              | 1               | of             | 1    | Attorney Docket Number | Q80691         |  |  |

|                       |                          |            | U.S.                                    | PATENT DOCUM                   | ENTS  |
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|                       | I                        | Document N | lumber                                  |                                |   |
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Number     | Kind<br>Code <sup>2</sup><br>(if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|                       |                          | US         |   |                                |   |
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|           | FOREIGN PATENT DOCUMENTS |  |                     |                                   |                  |                             |                          |  |  |
|-----------|--------------------------|--|---------------------|-----------------------------------|------------------|-----------------------------|--------------------------|--|--|
| Examiner  | Cite<br>No.1             | Foreign Patent Document                          |                     |                                   | Publication Date | Name of Patentee or         |                          |  |  |
| Initials* |                          | Country<br>Code <sup>3</sup>                     | Number <sup>4</sup> | Kind Code <sup>5</sup> (if known) | MM-DD-YYYY       | Applicant of Cited Document | Translation <sup>6</sup> |  |  |
|           |                          | JP   | 10-254139           | Α                                 | 09-25-1998       |                             |                          |  |  |
|           |                          | JP   | 2002-229192         | Α                                 | 08-14-2002       |                             |                          |  |  |
|           | _                        | JP   | 2001-142212         | Α                                 | 05-25-2001       |                             |                          |  |  |
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| NON PATENT LITERATURE DOCUMENTS |              |  |                          |  |  |
|---------------------------------|--------------|--|--------------------------|--|--|
| Examiner<br>Initials*           | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation <sup>6</sup> |  |  |
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| Examiner Signature Date Considered |  |
|------------------------------------|--|

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.